

jc961 U.S. PTO  
11/28/00

11-30-00

Patent Application  
Docket No. 34013-28PT

A  
jc846 U.S. PTO  
09/724803  
11/28/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Gregory G. Cappiello, Robert H. Dueck, and Mikhail N. Sokolskiy

For: DIFFRACTION GRATING FOR WAVELENGTH DIVISION  
MULTIPLEXING/DEMULTIPLEXING DEVICES

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Date of Deposit: November 28, 2000  
I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Carolyn Bova

*Carolyn Bova*  
Signature

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

- X Specification, claims and abstract of the above-referenced patent application (total of 105 pages)
- X 12 sheet(s) of drawing(s) (\_\_\_ formal/ x informal).
- X Combined Declaration and Power of Attorney (unexecuted).
- \_\_\_ An Assignment of the invention to: \_
- X Small entity status is claimed under 37 CFR 1.9 and 1.27.
- X Other (specify): Acknowledgment postcard.
- \_\_\_ This application is a:
  - \_\_\_ Continuation
  - \_\_\_ Divisional

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Continuation-In-Part

of prior copending parent application Serial No. filed on \_\_\_ now pending.

Please amend the application to insert the following line in the beginning of the specification:

--This application is a Continuation of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_, now pending.--

In the event that a petition to extend time under 37 CFR 1.136 is necessary in the parent application to maintain copendency for this application, a petition for an extension of the necessary time to maintain copendency is hereby requested for the parent application and the Commissioner is hereby authorized to debit our Account Number 10-0447 for the necessary fees.

The filing fee has been calculated as shown below:

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$710
TOTAL CLAIMS	145-20	125	\$18	\$2,250
INDEPENDENT CLAIMS	19- 3	16	\$80	\$1,280
MULTIPLE DEPENDENT CLAIM(S) PRESENTED			\$260	
TOTAL FEES:				\$4,240
Deduct one-half of fee for Small Entity				\$2,120
ASSIGNMENT RECORDAL FEE			\$40	
TOTAL AMOUNT DUE:				\$2,120

\_\_\_ Please charge my Deposit Account No. 10-0447 in the amount of \$\_\_\_

\_\_\_ Check(s) in the amount of \$\_\_\_ is/are attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0447.

\_\_\_ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 10-0447.

\_\_\_ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

- [illegible]

William F. Esser  
Registration No. 38,053

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